

# DO NOT USE THIS FORM TO APPLY FOR A SALESPERSON LICENSE IF:

- YOU HAVE ALREADY SUBMITTED A:
   SALESPERSON EXAMINATION APPLICATION (RE 400A)
   SALESPERSON EXAM LICENSE APPLICATION (RE 435)
- YOU HAVE APPLIED ONLINE THROUGH eLICENSING (USING EITHER the RE 400A or RE 435 form)
- YOU HAVE APPLIED IN THE LAST TWO YEARS (USING EITHER the RE 400A or RE 435 form)

NOTE: If you have previously submitted a *SALESPERSON EXAMINATION APPLICATION* (RE 400A) and passed your examination, you will need to create or log in to your eLicensing account, view your exam results page and print out a *SALESPERSON LICENSE APPLICATION* (RE 202) to apply for a license.

# **EXAM/LICENSE APPLICATION CHECKLIST**

RE 216B (Rev. 10/21)

# **GENERAL INFORMATION**

This checklist may be used for either the salesperson or broken
exam/license paper applications.

- ✓ Read appropriate license instructions carefully (RE 435A or RE 436A).
- ✓ Application must list your legal name.
- ✓ Items to be included in your packet are listed below. Check off each item as you assemble your packet.
- ✓ Mail completed **application package** *and* **fee** to:

Department of Real Estate P.O. Box 137001

Sacramento, CA 95813-7001

✓ Titles of forms referenced by number. All of these forms are available on DRE's Web site at www.dre.ca.gov.

RE 206 Exam & Licensing Fees

RE 226 Licensed Experience Verification

RE 227 Non-Licensed Experience Verification

RE 228 Experience Certification

RE 237 Live Scan Service Request (Applicant)

RE 237B Fingerprint Information

RE 423 Examination Qualification Requirements

RE 435 Salesperson Exam/License Application

RE 435A Salesperson Exam/License Instructions

RE 436 Broker Exam/License Application

RE 436A Broker Exam/License Instructions

RE 243 License Application Demographic Survey (Voluntary)

RE 909 Credit Card Payment

# **SALESPERSON APPLICANTS**

# Required documents...

	DE 405	O 1 1	1 . 1	1 .	
	RE 435 —	( 'omnleted	and cianed	hu ann	licant
_	KE 433 —	– Completed	and signed	. uv ann	ncant.

- ☐ Official transcripts or course completion certificates for Real Estate Principles, Real Estate Practice and/or one other required course not needed, if previously submitted.
- □ RE 237 (See ① below.) Completed by applicant and live scan service operator.
- ☐ License fee Refer to RE 206 for current fees. **Do not send cash.** 
  - ☐ Cashiers' check, check, or money order made payable to: Department of Real Estate.
  - $\square$  RE 909 If paying by credit card.

# **BROKER APPLICANTS**

# Required documents ...

	RE 436 —	Commit	atad and	ai am a d	1000	licant
ш	KE 430 —	Combi	eted and	signed	ov app	ncant

- ☐ Documentation to establish examination course requirements have been met. Refer to RE 423 for current requirements.
  - ☐ Official transcripts.
  - ☐ Course completion certificates.
- Documentation showing experience requirements have been met. Please note that depending on your circumstances, one or more of the forms listed below may be required.
  - ☐ RE 226 Documenting licensed experience.
  - ☐ RE 227 Documenting non-licensed experience.
  - □ RE 228 Two RE 228's are required if unable to obtain broker's signature on RE 226 form.
- ☐ RE 237 (See ① below.) Completed by applicant and live scan service operator.
- ☐ License fee Refer to RE 206 for current fees. **Do not send cash.** 
  - ☐ Cashiers' check, check, or money order made payable to: Department of Real Estate.
  - $\square$  RE 909 If paying by credit card.
- ① Make two photocopies of the copy provided. After fingerprints are completed the live scan service operator will retain the *original*. You should return the *second* copy to DRE and keep the *third* copy for your records.

STATE OF CALIFORNIA
DEPARTMENT OF REAL ESTAT

# SALESPERSON EXAM/LICENSE APPLICATION

RE 435 (Rev. 11/21)

DRE RECEIVED DATE

# **GENERAL INFORMATION**

- Apply for the real estate salesperson examination *and* the real estate salesperson license at the same time.
- Do not submit photocopies of this form or fax this form.

Read enclosed instructions (RE 435A) before completing and submitting this application to ensure that you understand the requirements and that the proper qualifying documentation and exam/license fee are submitted. Note: Fees submitted with this application are not refundable or transferable. If you fail to qualify for or pass the examination within two years of the date this application is filed, the fees will not be applied to any future applications.

l	>			
	SE ONL	D MAX	LIC. ID	
,	DRE U	EFFECTIVE DATE		EXPIRATION DATE

DATE PROCESSED

DATE EXAM PASSED

# **FEES REQUIRED**

Exam.....\$ 60 License.....\$245 Total due to DRE with this application....\$305

SENDER/DATE SENT

		APPLICANT IN	FORMATION						
1. SOCIAL SECURITY NUMBER OR INDIVIDU	SOCIAL SECURITY NUMBER OR INDIVIDUAL TAXPAYER ID NUMBER ( <b>REQUIRED</b> – REFER TO PRIVACY NOTICE ON RE 435A)  2. BIRTH DATE (MM/DD/YYYY) (required)								
3. Have you <b>ever</b> applied for a Californ					···· DYES	□ NO			
If YES, list examination ID#. (If kno	wn)								
4. APPLICANT'S NAME (MUST BE YOUR LEG	AL NAME) - <i>LAST</i>	FIRST		MIDDLE		SUFFIX			
5A. MAILING ADDRESS – STREET ADDRESS	OR POST OFFICE E	BOX							
5B. CITY				5C. STATE	5D. ZIP CODE				
6. Do you reside in California?					DYES	□ NO			
If NO, an "RE 234" is required (Refe					<b>L</b> 1E3	□ NO			
7. CURRENT PHONE NUMBER (required)	,		8. CURRENT EMAIL A	DDRESS (requir	red - PRINT CLEARLY)				
9. BUSINESS CELL PHONE NUMBER ( )			10. BUSINESS TELEP	HONE NUMBER	R				
11A. ARE YOU CURRENTLY SERVING IN THE  YES NO	U.S. MILITARY?	11B. ARE YOU CURRENTLY DUTY SERVICE MEMB  YES				UNION WITH AN ACTIVE			
12A. HAVE YOU PREVIOUSLY SERVED IN THI	E U.S. MILITARY?	12B. IF YES, WERE YOU HO	NORABLY DISCHARGE	)?					
YES NO		YES NO (see RE 43	5A for expedited processing inst	ructions)					
13. Do you now hold or have you <b>ever If YES, complete 13A, B, C, and</b>		a real estate license?			····· YES	□ NO			
13A. TYPE OF LICENSE	13B. IDENTIFICATION	ON NUMBER	13C.EXPIRATIONDATE	13D. NAME ON	LICENSE				
		<b>EXAMINATION I</b>	NFORMATION						
14. When you are qualified for the exa			ES – Please notify m  O – Proceed to item		hen I am qualified fo	r the examination.			
eLicensing to schedule your own ex	tamination date?								
15. EXAMAREA PREFERENCE SACRAMENTO FRESNO LOS OAKLAND SAN DIEGO OTH	ANGELES VICINITY		17. EARLIEST DATE YC (mm) (dd)	OU CAN TAKE EX (yyyy)	accommo	nesting a reasonable odation; RE 413 & g documents are			
		DRE US	E ONLY						
☐ RESTRICTED ☐ VIOLATIONS	FORM LETTER / NO	OTES	EXAM PROCESSOR		DATE PROCESS	SED			

LICENSE PROCESSOR





NAME OF APPLICANT

		LICENSE INFOR				
18. HAVE YOU USED ANY OTHER NA		ER MARRIED NAMES, AKA	A'S, ETC.)?			
YES — IF YES, LIST ALL N						□ NO
BROKER OR CORPORATION	check one) NSE INAWORKING STATUS WITH INDICATED ON ITEMS 20-26. WH PLETED IN FULL AND SIGNED	EN MARKED "YES",		ENSE IN A NO	N-WORKING ST	RPORATION AT THIS TIME. ATUS. DO NOT COMPLETE
20. BROKER OR CORPORATION ID #	DRE USE ONLY	21.	BROKER/CORP. EXPIRATION	I DATE 22	2. BUSINESS T	ELEPHONE NUMBER
23. RESPONSIBLE BROKER OR COR	RPORATION NAME (Print name as	it appears on license – <b>Do no</b>	ot list DBA's)			
24. MAIN OFFICE ADDRESS OF RES	PONSIBLE BROKER OR CORPO	RATION				
application, and that upon the affiliation relationship includir 2726 of the Regulations of the	g supervision, duties, and o	icense there will be a compensation of the apr.	ker, and that I have read written agreement on fil pplicant named on this f	e in my offic orm under t	ce covering the affiliation	he material aspects of provisions of Section
25. ORIGINAL OR ELECTRONIC* SIG	NATURE (Responsible Broker/Lice	nsed Officer) DATE	26. PRINTED NA	AME OF LICEN	ISED OFFICER	(If corporation on line 23.)
* Electronic signature must comply with C	alifornia Uniform Transactions Act (0	CA Civ. Code section 1633.1 e	et.seq.)			
	BA	CKGROUND INF	ORMATION			
Carefully read and provide deta provide the detailed information responsible broker, if any. Failu 27. HAVE YOU EVER HAD	n required. Attach additiona re to disclose pertinent info	I sheets if you need marmation may result in	ore space. Each additio denial of your license ap	nal sheet m	ust be signed	
OR PROFESSIONAL LI	CENSE (INCLUDING REA Plete Item 31	AL ESTATE), IN CALI	FORNIA OR ANY OTH	ER □	1 YES	□NO
28. ARE THERE ANY LICEN PROFESSIONAL LICEN	ISE DISCIPLINARY ACTI SE YOU HOLD AT THIS T				] YES	□NO
	REQUIRED TO REGIST ION 290 OF THE CALIFO /ERNMENTAL UNIT? <b>IF Y</b>	RNIA PENAL CODE	OR ANY COMPARABL	E LAW	I YES	□NO
OF ÉTHICS OR UNPRO PROFESSIONAL ASSO	ATING A LAW, RULE OR FESSIONAL CONDUCT, CIATION IN CALIFORNIA	REGULATION BY, C BY AN ADMINISTRA OR ANY OTHER ST	OR CITED FOR A BREA TIVE AGENCY OR TATE?			
IF YES, COMPLETE ITE	EMS 31-32			····· □	] YES	□ NO
31. DETAILED EXPLANATION (	OF ITEM 27, 28 AND/OR 30. I	F NEEDED, EXPLANA	TION MAY BE PROVIDED	BELOW.		
31A. TYPE OF LICENSE	31B. LICENSE ID NO.	31C.	LICENSE EXPIRATION DATE	31	1D. STATE	
31E. ACTION (revoked, etc.)	31F. DATE OF ACTION	31G.	DATE ACTION TERMINATED	31	1H. CODE SECT	ION VIOLATED
32. ADDITIONAL INFORMATION ADDITIONAL SHEET MUST				TS IF MORE	ROOM IS NE	EDED. EACH

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NAME OF APPLICANT

# **SALESPERSON EXAM & LICENSE CERTIFICATION**

**Exam** — I hereby certify under penalty of perjury that I am aware of and meet all examination requirements as set forth in Section 10153 of the B&P Code, and those set forth in RE 435A. I understand that the fees remitted will not be refunded or transferred under any circumstances per Section 10207 of the B&P Code. I also understand that subverting the examination will subject me to the penalties of Sections 123, 123.5, 496, 10153.01, and 10153.1 of the B&P Code.

License — I certify under penalty of perjury under the laws of the State of California that the foregoing answers and statements given in this application are true and correct, that at the time of license issuance I will be 18 years of age or older, that if licensed I will not violate any provisions of the Real Estate Law nor abuse the privileges of a real estate license. I understand that the Department of Real Estate cannot refund or transfer the fees submitted with this application, if I fail to qualify for the license for any reason or withdraw this application.

I understand that it is my obligation to notify the Department upon licensure within 30 days in writing or by filing form RE 238 of any conviction, criminal complaint, information or indictment charging a felony, or disciplinary action taken by another licensing entity or authority of this state or an agency of the federal government pursuant to Business and Professions Code Section 10186.2. I also understand that the Department may delay my renewal and toll the expiration date of my license pursuant to B&P Section 10177.

	1
33. SIGNATURE OF APPLICANT (MUST BE ORIGINAL OR ELECTRONIC* SIGNATURE)	34. DATE

<sup>\*</sup> Electronic signature must comply with California Uniform Transactions Act (CA Civ. Code section 1633.1 et.seq.)

# SALESPERSON EXAM/LICENSE INSTRUCTIONS

RE 435A (Rev. 11/21)

# **GENERAL INFORMATION**

- This combination application may be used for the following purpose:
  - **Applying** for the real estate salesperson examination and the real estate salesperson license at the same time.
- The paper application must be mailed. Or you can apply using *eLicensing*. DRE will not accept a faxed RE 435. The paper RE 435 application must have the original signatures. It must be mailed in; a faxed copy will not be accepted.
- The following fees must be included with your application (fees are subject to change):
  - The current examination fee is \$60 and the current license fee is \$245. *Total due to DRE with this application*: \$305.
  - Note: Once the Department receives your application, the fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.
  - Fee valid for two years A new application, fee, and current qualifications will be required if you fail to qualify for and pass the examination during that two year period.
- > The following must be submitted before your license can be issued:
  - Transcripts for Real Estate Principles, Real Estate Practice and/or one other required course, if not previously submitted (refer to Education Requirements).
  - A copy of the completed Live Scan Service Request (RE 237) (refer to Fingerprint Information).

Please read all examination and license information/instructions before completing and submitting this application; for paper applications type or print in ink.

# **GENERAL APPLICANT INFORMATION**

- You are scheduled for one salesperson examination date at a time.
- Name To avoid delays in the processing of your exam/ license application(s) you must provide your legal name. Further, the name listed as your legal name must appear on all accompanying documents, including Completion Certificates. Provide documentation (i.e., copy of both new and old drivers licenses, marriage certificate, etc.) for any name change or discrepancy between your legal name and the name you used in applying for the examination or the name on your transcripts or certificates.
- Mailing address Use of a real estate school/business mailing address (item #5A-D) may cause a delay in mail delivery. If you are not a resident of California, submit a notarized Consent to Service (RE 234). You may change your mailing address

- online using *eLicensing* at www.dre.ca.gov or by submitting a Salesperson Examination Change Application (RE 415A). Note: Changing your exam address online *will not* change the address on your license record. You will need to submit a Salesperson Examination Change Application (RE 415A).
- Business, examinee, and licensee mailing addresses are public
  information and as such are posted on the Internet and are
  available from DRE via download, telephone, or written requests.
  Please consider this when identifying a business, examinee, or
  licensee mailing address.
- The examination is qualifying in nature. If you pass the examination, an actual score will not be released.

# **EXAMINATION SCHEDULING INFORMATION**

- Schedules and examination locations are subject to change.
- You must have an approved exam application on file before you can schedule yourself on *eLicensing*.
- Salesperson examinations are administered: in Fresno, the
  Los Angeles vicinity, Oakland, Sacramento, and San Diego. At
  www.dre.ca.gov you can view a calendar of examination dates
  and locations currently being scheduled. Since DRE allows
  reschedule requests online, by phone, and through the mail,
  examination dates fill quickly and a particular date may not be
  available; therefore, requests on paper applications cannot be
  guaranteed.
- Once you are qualified, you can either schedule yourself for the examination or DRE will schedule you. If you indicate on the application (item #14) that you want to schedule yourself for the examination, an email will be sent to you advising you that you are qualified and that you may use *eLicensing* to schedule your examination.
- If you do not provide a date in item #17, and do not request self-scheduling (item #14), you will be scheduled by DRE for the earliest available date in the area chosen.
- **Exam date notification** Processing timeframes are listed at **www.dre.ca.gov**. These timeframes estimate when the processing of your application should be completed.
- If you have not received an examination confirmation notice within the timeframes listed on our website, you can obtain your scheduled date through *eLicensing* at www.dre.ca.gov.
- If you have not yet been assigned an exam date or received a
  deficiency letter and your application was submitted prior to
  the processing timeframes, check with your bank or credit card
  company to assure that DRE has processed your fee.
- Applicants with limitations requiring reasonable accommodations
  must submit a Reasonable Accommodation Request for
  Examination (RE 413) as well as the required documentation as
  noted on the RE 413, with this exam application. Do not attempt
  to schedule a reasonable accommodation via our website,
  as eLicensing does not provide such a service. Compliance

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with reasonable accommodation requests may be limited by scheduling constraints

 Use eLicensing to submit an exam or exam/license application or for expedited processing to reschedule an examination, review your examination results and records, request a duplicate schedule or result notice, and change your examination mailing

All exam scheduling transactions done by the examinee in *eLicensing* are final.

### **EDUCATION REQUIREMENTS**

In addition to this application and fee, salesperson applicants must submit official transcripts only (unofficial transcripts are not accepted) or credit certificate showing proof of completion of a three semester or four quarter unit college-level course or an equivalent DRE approved course in **Real Estate Principles**, **Real Estate Practice** and one additional course which should be chosen from the following list of courses: Accounting, Business Law, Common Interest Developments, Computer Applications in Real Estate, Escrows, Legal Aspects of Real Estate, Mortgage Loan Brokering & Lending, Property Management, Real Estate Appraisal, Real Estate Economics, Real Estate Finance, and Real Estate Office Administration.

The three semester-unit or four quarter-unit courses must be completed through a regionally accredited college or university or an approved DRE private course sponsor. Course(s) completed through a foreign institution of higher learning *must* be evaluated by a foreign credentials evaluation service approved by DRE. Refer to the Examination Applicant Foreign Education Information (RE 223) for foreign education.

Refer to *Instructions To License Applicants* handbook and *Examination Description* form (*RE 425*) for further information, examination content and examination rules. These materials are available on the DRE website at **www.dre.ca.gov** or from any DRE office.

### **CURRENTLY OR PREVIOUSLY LICENSED?**

 If you currently have a real estate salesperson license in California, or if your license expired less than two years ago, you are not eligible to take a salesperson examination. You may obtain a new license through the renewal process.

# GENERAL LICENSE INFORMATION

Application deficiencies such as failure to submit the proper fee, educational requirements, or completed Live Scan Service Request (RE 237) will delay processing.

You may check to verify that your license has been issued by referencing the license status look up at **www.dre.ca.gov**. Current processing timeframes for properly completed applications are posted on the website.

Forms referenced in these instructions can be obtained from the DRE website.

Once you pass the exam and are issued the license, you may use *eLicensing* to retrieve a copy of your license certificate. DRE no longer mails out license certificates.

# Items 19 through 26

- Must be completed by the sponsoring broker or licensed officer if you will be performing work in real estate for which a real estate license is required. *Note:* Only the sponsoring broker's or licensed officer's signature is acceptable. Signatures of office managers, other salespersons, secretaries or non-licensed officers are not acceptable, and will delay the issuance of the license.
- If applying using eLicnesing, an email will notify the prospective responsible broker for certification.
- If a corporation is entered on item #20, the licensed officer should enter his/her printed name on item #26 and sign on item #25 on behalf of the corporation.

# Items 27 through 34

- ALL background information questions (items 27-30) must be answered and a full explanation must be provided if any of the questions are answered "yes." Insufficient or no explanations will delay the processing of your license.
- Read the Salesperson Certification and sign and date items #33 and #34.

# FINGERPRINT INFORMATION

# Completion & Submission of RE 237

One set of classifiable electronic fingerprints is required from every real estate license applicant. Fingerprints must be submitted through the California Department of Justice (DOJ) Live Scan Program. A Live Scan Service Request (RE 237) may be obtained online at www.dre.ca.gov.

After you have your fingerprints taken by the live scan service provider, either submit a completed copy of RE 237 with this application or, if you choose to get your fingerprints taken at a later date, submit a completed copy of RE 237 to the Department of Real Estate, P.O. Box 137002, Sacramento, CA 95813, Attn: Fingerprint Desk. Your license will not be issued until a report from the Department of Justice is received stating that there is no criminal history, or disclosing the existence of criminal history information. If prior criminal information is disclosed in the report, further background inquiry may be required before your license is issued.

# **Fingerprint Services** (Contact the facility for fees/hours)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list of fingerprint service providers by visiting the Department of Justice's website at **www.ag.ca.gov**. Please note that the hours of operation for each provider may vary and an appointment may be required.

# Out-of-state applicants

Out-of-state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card can be obtained by contacting the Licensing Section at 877-373-4542. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed exam/license application, exam/license application fee, and \$49 fingerprint processing fee.

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# NOTICE ABOUT THE SAFE ACT

All DRE licensees who conduct residential mortgage loan activities, as outlined in the federal SAFE Act, must obtain a mortgage loan originator (MLO) license endorsement in order to perform these types of activities (SB 36, Calderon, Chapter 160, Statutes of 2009). MLO license endorsement applications must be submitted through the Nationwide Multistate Licensing System & Registry (NMLS) and applicants must successfully complete the National test with uniform State content, submit a MLO license endorsement filing through NMLS and pay required license endorsement fees, submit fingerprints to NMLS, satisfy the 20 hour pre-license education requirement, and submit authorization for NMLS to obtain a credit report. All MLO license endorsements expire on December 31st and must be renewed annually by submitting a renewal filing through NMLS along with the appropriate fees, and evidence of completion of 8 hours of continuing education, as specified. Detailed information regarding obtaining a MLO license endorsement can be found on our website.

# RE 866 Filing Requirements

All DRE licensees must report to the Department of Real Estate if they make, arrange, or service loans secured by residential property, 1 to 4 units, under the authority of the Real Estate Law. The report must be made within 30 days of commencing such activity and must be completed online using Form RE 866 – Mortgage Loan Activity Notification. Penalty fees can apply for failure to submit this required notification.

# SUBVERSION OF EXAMINATION

It is a misdemeanor for any person to subvert or attempt to subvert any licensing examination. Sections 123, 123.5, 496, 10153.01 and 10153.1 of the Business and Professions (B&P) Code relate to test security and provide that DRE may deny, suspend, restrict or revoke the license of an applicant or licensee who subverts or attempts to subvert a licensing examination.

In addition to any other penalties, a person found guilty of violating Section 123 of the B&P Code shall be liable for the actual damages sustained by the agency administering the examination not to exceed ten thousand dollars (\$10,000) and the costs of litigation.

# FEES, PAYMENT & MAILING INFORMATION

Fees are subject to change.

# Fees - Salesperson

Exam......\$ 60 License ......\$245

Total due to DRE with this application ......\$305

Fingerprint Fee①.....\$ 49

© Fingerprint fee — Applicants who reside in California will pay a \$49 fingerprint processing fee directly to the live scan fingerprint service provider. Applicants who reside out of state should submit the \$49 fingerprint processing fee with their exam/license application, fingerprint card, and exam/license fee.

Missing requirements may be submitted anytime within the two year period after DRE receipt of your application/fee. A new application, fee, and current qualifications will be required if you fail to qualify and pass the examination during that two year period.

The fees for the examination and license are not refundable or transferable to another application pursuant to B&P Code section 10207.

*Acceptable payment methods* — Cashiers' check, money order, check or debit/credit card.

- Make check or money order payable to: Department of Real Estate.
- If paying by credit card, you must complete a Credit Card Payment Form (RE 909).

Mail To — Department of Real Estate P.O. Box 137001 Sacramento, CA 95813-7001

DRE Licensing can be contacted toll free at 877-373-4542.

# **EXPEDITED PROCESSING FOR U.S. MILITARY**

Honorably discharged veterans of the U.S. Armed Forces and spouses/partners who meet the conditions under Business and Professions Code 10151.2 are eligible for expedited processing.

- In order to obtain expedited processing, please submit a copy of your U.S. DD Form 214, NGB-22 form, or Honorable Discharge certificate to confirm your condition of discharge and for spouses/partners, please submit documentation of the relationship to the service member, real estate license from other jurisdiction, and current duty station orders for California when submitting the application, supporting qualification documents, and fee.
- If you are applying online, documentation can be submitted as part of the online application process. If you are applying via mail, please utilize the mailing address below for expedited processing.

Mail To — Department of Real Estate
P.O. Box 137014
Sacramento, CA 95813-7014
Attn: Expedited Military Processing

Please note - Applications to this P.O. Box from non-honorably discharged veterans or eligible spouses/partners will be processed in date received order

### PRIVACY INFORMATION:

Section 1798.17 of the Civil Code requires this notice be provided when collecting personal or confidential information from individuals. Each individual has the right to review personal information maintained by this Agency, unless access is exempted by law.

Department of Real Estate
1651 Exposition Blvd.
Sacramento, CA 95815
Assistant Commissioner
Licensing & Administration
Telephone: 877-373-4542

General powers of the Commissioner, Sections 10050, 10071, and 10075 of the Business and Professions Code authorizes the maintenance of this information

Business and Professions Code Sections 30, 31(e) and 494.5(d) require each real estate licensee to initially provide to the Department of Real Estate his or her social security number which will be furnished to the Franchise Tax Board. The Franchise Tax Board will use your number to establish identification exclusively for tax purposes. These code

sections also require that each application for a new license or renewal provides notification on the application, that the Board of Equalization and the Franchise Tax Board will share taxpayer information with the Department of Real Estate, and that failure to pay tax obligations may result in a suspension or denial of a license. The Board of Equalization and Franchise Tax Board require the Department to collect social security numbers and federal taxpayer identification numbers for the purposes of matching the names of the 500 largest tax delinquencies pursuant to Section 7063 or 19195 of the Revenue and Taxation Code. Your social security numbers and federal taxpayer identification number shall not be deemed public records and shall not be open to the public for inspection.

The Real Estate Law and the Regulations of the Commissioner require applicants to provide the Department with specific information. If all or any part of the required information is not provided, processing may be delayed. In addition, the Commissioner may suspend or revoke a

license, or in the case of a license applicant, may deny the issuance for misstatements of facts (including a failure to disclose a material fact) in an application for a license.

The information requested in this form is primarily used to furnish license status information to the Department's Enforcement Section, and to answer inquiries and give information to the public on license status, mailing and business addresses and actions taken to deny, revoke, restrict or suspend licenses for cause.

This information may be transferred to real estate licensing agencies in other states, law enforcement agencies (City Police, Sheriff's Departments, District Attorneys, Attorney General, F.B.l.), and any other regulatory agencies (i.e., Department of Business Oversight, Department of Insurance, Department of Consumer Affairs, California Bar Association).

# LIVE SCAN SERVICE REQUEST (Applicant/Petitioner)

RE 237 (Rev. 7/20) Instructions on reverse.

# Attention - Live Scan Service Providers

Please note the following information when processing Department of Real Estate (DRE) license applicants.

- ❖ The Type of Application should indicate **License**, **Certification**, **or Permit**, and the Application Title should indicate **Real Estate License**.
- ❖ The Department of Justice and FBI processing fee is to be paid by the applicant and *should be* collected at the live scan service provider site.

PART 1	CONTRIBU	TING AGENCY	
AGENCY ORI NUMBER A0075	AGENCY ADDRESS SET  CALIFORNIA DEPARTMENT ( 1651 EXPOSITION BLVD, SAC		09416
APPLICATION TYPE  LICENSE, CERTIFICATION, (	OR PERMIT		CONTACT NAME LICENSING SECTION
APPLICATION TITLE REAL ESTATE LICENSE			CONTACT TELEPHONE NUMBER  1-877-373-4542
PART 2	APPLICANT'S PER	SONAL INFORMAT	ION
APPLICANT NAME (LAST, FIRST, & MIDI	DLE INITIAL)		
FORMER NAME/AKA'S (LAST, FIRST)			
DATE OF BIRTH (MM/DD/YYYY)	GENDER  MALE FEMALE	HEIGHT	WEIGHT
EYE COLOR	HAIR COLOR	PLACE OF BIRTH	
SOCIAL SECURITY NUMBER	CALIFORNIA DRIVER'S LICENSE NO.	). AGENCY BILLING NUMBER	
		Fees paid by a	pplicant
RESIDENCE ADDRESS (STREET/P.O. B	OX, CITY, STATE, ZIP CODE)		
YOUR OCA NUMBER (USE SOCIAL SEC	URITY NUMBER)	LEVEL OF SERVICE  CALIFORNIA DEP	ARTMENT OF JUSTICE/FBI
I have received and	read the included Privacy Notice  Applicant Signature	e, Privacy Act Statem	ent, and Applicant's Privacy Rights.  Date
PART 3	LIVE SCAN	TRANSACTION	
OPERATOR NAME	DATE COMPLETED	TRANSACTION	TRANSMITTING AGENCY
AMOUNT COLLECTED (FOR ROLLING F	AMOUNT COLLECTED (FO	OR DOJ/FBI PROCESSING)	ATI NUMBER
PART 4	LIVE SCAN RESUBM	IISSION TRANSAC	TION
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# **APPLICANT INSTRUCTIONS**

# Fingerprint Requirement

One set of classifiable electronic fingerprints is required from every real estate license applicant. The fingerprint process is completed by a live scan service provider as noted below.

One set of classifiable electronic fingerprints is also required by licensees who hold a restricted license and who are petitioning for removal of restrictions or by individuals who have had their real estate license revoked and are petitioning for reinstatement of their license.

Applicants have the option of getting their fingerprints taken either after passing their examination or after they have submitted their examination application. However, fingerprint processing fees are not refunded if an applicant fails to pass the examination.

Out of state residents either need to make arrangements to get their fingerprints taken at a live scan service provider in California or get fingerprinted out of state using the FBI Applicant Fingerprint Card (FD-258 Rev. 5/99). A fingerprint card will be provided to all out of state applicants with the original license application. It should be taken to a local law enforcement agency for completion and submitted directly to DRE with the completed license application, license application fee, and \$49.00 fingerprint card processing fee. Applicants who wish to get their fingerprints taken out of state after submitting their examination application, but before passing their examination, may request a blank fingerprint card by contacting the Licensing Section at 1-877-373-4542.

# Completion of Form RE 237 Live Scan Service Request

The following information must be entered in Part 2 of the form:

- Your printed name and former names (if any).
- Date of birth, gender, height, weight, eye color, hair color, place of birth, social security number (SSN), drivers license number, residence address, and OCA number (same as SSN).
- Sign and date confirmation of receiving and reading the Privacy Notice, Privacy Act Statement, and Applicant's Privacy Rights.

The electronic fingerprint service provider will complete Part 3 of the form.

# Submission of Fingerprint Form

After you have your fingerprints taken by the live scan service provider, a completed copy of this form must be submitted with your original license application or petition application (RE 506). If you choose to get your fingerprints taken before passing your examination, submit a completed copy of this form to the Department of Real Estate, P.O. Box 137002, Sacramento, CA 95813-7002, Attn: Fingerprint Desk.

# Fees

*Processing fee* — A fee will be collected by the live scan provider for the processing of your fingerprints by the Department of Justice.

Service fee — A separate fee will be charged for the service of taking the electronic fingerprints by the live scan provider. That fee may vary depending on the live scan provider you choose.

# **Fingerprint Services** (Contact the facility for fees & hours.)

Many California law enforcement agencies and other private providers provide electronic fingerprint services. You may obtain a complete list by checking the Web site of the California Department of Justice at http://ag.ca.gov/fingerprints/publications/contact.php. Please note that the hours of operation for each provider may vary and an appointment may be required.

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# **Privacy Notice**

As Required by Civil Code § 1798.17

Collection and Use of Personal Information. The California Justice Information Services (CJIS) Division in the Department of Justice (DOJ) collects the information requested on this form as authorized by Business and Professions Code sections 4600-4621, 7574-7574.16, 26050-26059, 11340-11346, and 22440-22449; Penal Code sections 11100-11112, and 11077.1; Health and Safety Code sections 1522, 1416.20-1416.50, 1569.10-1569.24, 1596.80-1596.879, 1725-1742, and 18050-18055; Family Code sections 8700-87200, 8800-8823, and 8900-8925; Financial Code sections 1300-1301, 22100-22112, 17200-17215, and 28122-28124; Education Code sections 44330-44355; Welfare and Institutions Code sections 9710-9719.5, 14043-14045, 4684-4689.8, and 16500-16523.1; and other various state statutes and regulations. The CJIS Division uses this information to process requests of authorized entities that want to obtain information as to the existence and content of a record of state or federal convictions to help determine suitability for employment, or volunteer work with children, elderly, or disabled; or for adoption or purposes of a license, certification, or permit. In addition, any personal information collected by state agencies is subject to the limitations in the Information Practices Act and state policy. The DOJ's general privacy policy is available at <a href="http://oag.ca.gov/privacy-policy">http://oag.ca.gov/privacy-policy</a>.

**Providing Personal Information.** All the personal information requested in the form must be provided. Failure to provide all the necessary information will result in delays and/or the rejection of your request.

**Access to Your Information.** You may review the records maintained by the CJIS Division in the DOJ that contain your personal information, as permitted by the Information Practices Act. See below for contact information.

**Possible Disclosure of Personal Information.** In order to process applications pertaining to Live Scan service to help determine the suitability of a person applying for a license, employment, or a volunteer position working with children, the elderly, or the disabled, we may need to share the information you give us with authorized applicant agencies.

The information you provide may also be disclosed in the following circumstances:

- With other persons or agencies where necessary to perform their legal duties, and their use of your information is compatible and complies with state law, such as for investigations or for licensing, certification, or regulatory purposes.
- To another government agency as required by state or federal law.

Contact Information. For questions about this notice or access to your records, you may contact the Associate Governmental Program Analyst at the DOJ's Keeper of Records at (916) 210-3310, by email at **keeperofrecords@doj.ca.gov**, or by mail at:

Department of Justice Bureau of Criminal Information & Analysis Keeper of Records P.O. Box 903417 Sacramento, CA 94203-4170

# **Privacy Act Statement**

**Authority.** The FBI's acquisition, preservation, and exchange of fingerprints and associated information is generally authorized under 28 U.S.C. 534. Depending on the nature of your application, supplemental authorities include Federal statutes, State statutes pursuant to Pub. L. 92-544, Presidential Executive Orders, and federal regulations. Providing your fingerprints and associated information is voluntary; however, failure to do so may affect completion or approval of your application.

Principal Purpose. Certain determinations, such as employment, licensing, and security clearances, may be predicated on fingerprint-based background checks. Your fingerprints and associated information/biometrics may be provided to the employing, investigating, or otherwise responsible agency, and/or the FBI for the purpose of comparing your fingerprints to other fingerprints in the FBI's Next Generation Identification (NGI) system or its successor systems (including civil, criminal, and latent fingerprint repositories) or other available records of the employing, investigating, or otherwise responsible agency. The FBI may retain your fingerprints and associated information/biometrics in NGI after the completion of this application and, while retained, your fingerprints may continue to be compared against other fingerprints submitted to or retained by NGI.

**Routine Uses.** During the processing of this application and for as long thereafter as your fingerprints and associated information/biometrics are retained in NGI, your information may be disclosed pursuant to your consent, and may be disclosed without your consent as permitted by the Privacy Act of 1974 and all applicable Routine Uses as may be published at any time in the Federal Register, including the Routine Uses for the NGI system and the FBI's Blanket Routine Uses. Routine uses include, but are not limited to, disclosures to: employing, governmental, or authorized non-governmental agencies responsible for employment, contracting, licensing, security clearances, and other suitability determinations; local, state, tribal, or federal law enforcement agencies; criminal justice agencies; and agencies responsible for national security or public safety.

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# **Noncriminal Justice Applicant's Privacy Rights**

As an applicant who is the subject of a national fingerprint-based criminal history record check for a noncriminal justice purpose (such as an application for employment or a license, an immigration or naturalization matter, security clearance, or adoption), you have certain rights which are discussed below.

- You must be provided written notification¹ that your fingerprints will be used to check the criminal history records of the FBI.
- You must be provided, and acknowledge receipt of, an adequate Privacy Act Statement when you submit your fingerprints and associated personal information. This Privacy Act Statement should explain the authority for collecting your information and how your information will be used, retained, and shared. <sup>2</sup>
- If you have a criminal history record, the officials making a determination of your suitability for the employment, license, or other benefit must provide you the opportunity to complete or challenge the accuracy of the information in the record.
- The officials must advise you that the procedures for obtaining a change, correction, or update of your criminal history record are set forth at Title 28, Code of Federal Regulations (CFR), Section 16.34.
- If you have a criminal history record, you should be afforded a reasonable amount of time to correct or complete the record (or decline to do so) before the officials deny you the employment, license, or other benefit based on information in the criminal history record. <sup>3</sup>

You have the right to expect that officials receiving the results of the criminal history record check will use it only for authorized purposes and will not retain or disseminate it in violation of federal statute, regulation or executive order, or rule, procedure or standard established by the National Crime Prevention and Privacy Compact Council. <sup>4</sup>

If agency policy permits, the officials may provide you with a copy of your FBI criminal history record for review and possible challenge. If agency policy does not permit it to provide you a copy of the record, you may obtain a copy of the record by submitting fingerprints and a fee to the FBI. Information regarding this process may be obtained at <a href="https://www.fbi.gov/services/cjis/identity-history-summary-checks">https://www.fbi.gov/services/cjis/identity-history-summary-checks</a>.

If you decide to challenge the accuracy or completeness of your FBI criminal history record, you should send your challenge to the agency that contributed the questioned information to the FBI. Alternatively, you may send your challenge directly to the FBI. The FBI will then forward your challenge to the agency that contributed the questioned information and request the agency to verify or correct the challenged entry. Upon receipt of an official communication from that agency, the FBI will make any necessary changes/corrections to your record in accordance with the information supplied by that agency. (See 28 CFR 16.30 through 16.34.) You can find additional information on the FBI website at <a href="https://www.fbi.gov/about-us/cjis/background-checks">https://www.fbi.gov/about-us/cjis/background-checks</a>.

<sup>&</sup>lt;sup>1</sup> Written notification includes electronic notification, but excludes oral notification

<sup>&</sup>lt;sup>2</sup> https://www.fbi.gov/services/cjis/compact-council/privacy-act-statement

<sup>&</sup>lt;sup>3</sup> See 28 CFR 50.12(b)

<sup>&</sup>lt;sup>4</sup> See U.S.C. 552a(b); 28 U.S.C. 534(b); 34 U.S.C. § 40316 (formerly cited as 42 U.S.C. § 14616), Article IV(c)

# LICENSE APPLICATION DEMOGRAPHIC SURVEY

RE 243 (Rev. 7/20)

<b>GENERAL</b>	. INFOR	MATION
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The Department of Real Estate is required to collect demographic information regarding applicants for licensure pursuant to Business & Professions Code 480 et seq., if the applicant chooses to provide this information. Providing this information is voluntary, and providing responses will not impact the Department's review of your license application.

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Thank You For Completing This Questionnaire